

FORM PTO-1390 (REV 1-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 005317-20009	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NUMBER (if known, see 37 C.F.R. 1.5) 09/297483 NOT ASSIGNED	
INTERNATIONAL APPLICATION NO. PCT/JP98/03935		INTERNATIONAL FILING DATE September 2, 1998		PRIORITY DATE CLAIMED September 2, 1997	
TITLE OF INVENTION ORGANIC EL ELEMENT MANUFACTURING PROCESS AND ORGANIC EL ELEMENT					
APPLICANT(S) FOR DO/EO/US SEKI, Shunichi; KIGUCHI, Hiroshi both of Nagano-Ken, Japan					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input type="checkbox"/> Other items or information:</p>					

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

005317-20009 00 APR 1999

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 08/287483 NOT ASSIGNED	INTERNATIONAL APPLICATION NUMBER PCT/JP98/03935	ATTORNEY'S DOCKET NUMBER 005317-20009			
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO of JPO\$970 International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$840 International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO\$760 International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$670 International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$96 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>		CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; height: 100px; width: 100%;"></div>			
Surcharge of \$130 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. 1.492(e)). <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30		\$ 970 \$ 130			
CLAIMS	NUMBER FILED	NUMBER ALLOWED	NUMBER EXTRA	RATE	
Total claims	68	20	48	\$ 18	864
Independent claims	8	3	3	\$ 78	234
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+	\$260
TOTAL OF ABOVE CALCULATIONS =					2458
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28).					
SUBTOTAL =					\$2,458
Processing fee of \$0 for furnishing the English translation later than months from the earliest claimed priority date (37 C.F.R. 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30					\$ 0
TOTAL NATIONAL FEE =					2458
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40 per property					\$ 0
TOTAL FEES ENCLOSED =					2458
\$					
Amt. Refunded					\$
Amt. charged					

a. ☒ A check in the amounts of 2458 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 12-1820 in the amount of 0 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1820. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

LOEB & LOEB LLP
 10100 Santa Monica Blvd., Suite 2200
 Los Angeles, CA 90067-4164
 Form PTO-1390 (REV 1-98)

SIGNATURE

 William H. Wright
 REG.NO. 36,312
 April 30, 1999

Transmittal Letter to the United States Designated Office (DO/US)—Entry Into National Stage under 35 U.S.C. 371—PTO 1390 [13-7]

09/297483
500 RES PCT/PTO 30 APR 1999
PATENT
Attorney Docket No: 005317-20009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SEKI, Shunichi; KIGUCHI, Hiroshi

Serial No: NOT ASSIGNED

Filed: April 30, 1999

For: ORGANIC EL ELEMENT
MANUFACTURING PROCESS AND
ORGANIC EL ELEMENT

Art Unit: NOT ASSIGNED

Examiner: NOT ASSIGNED

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 340 695 936 US

Date of Deposit: April 30, 1999

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 2458 as filing fee
- ☒ patent application (48 page(s) of specification; 68 claim(s); 1 page(s) of abstract
- ☒ 1 sheet(s) of informal drawings
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement with 6 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231.

Date: April 30, 1999

10100 Santa Monica Blvd., 22nd Floor
Los Angeles, California 90067-4164
Telephone: 310-282-2000
Facsimile: 310-282-2192

Mary Warren

Name of person mailing papers

Signature